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JTR

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|                                                                                         |                        |                  |
|-----------------------------------------------------------------------------------------|------------------------|------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 09/734,910       |
|                                                                                         | Filing Date            | 12/11/2000       |
|                                                                                         | First Named Inventor   | Stephane Kasriel |
|                                                                                         | Art Unit               | 2151             |
|                                                                                         | Examiner Name          | Frantz B. Jean   |
| Total Number of Pages in This Submission                                                | Attorney Docket Number | D33-015-02-US    |

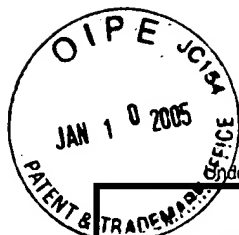
| ENCLOSURES (Check all that apply)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance communication to (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Post Card<br>Foreign References |
| Remarks                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                              |          |        |
|--------------------------------------------|------------------------------|----------|--------|
| Firm Name                                  | Moore, Hansen & Sumner, PLLP |          |        |
| Signature                                  |                              |          |        |
| Printed name                               | Shawn B. Dempster            |          |        |
| Date                                       | January 5, 2005              | Reg. No. | 34,321 |

| CERTIFICATE OF TRANSMISSION/MAILING                                                                                                                                                                                                                                                                           |                |      |                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                |      |                 |
| Signature                                                                                                                                                                                                                                                                                                     |                |      |                 |
| Typed or printed name                                                                                                                                                                                                                                                                                         | K. J. Rostberg | Date | January 5, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| Effective on 12/8/2004.<br>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). |  | Complete if Known    |                  |
|----------------------------------------------------------------------------------------------------|--|----------------------|------------------|
| <b>FEE TRANSMITTAL</b><br><b>For FY 2005</b>                                                       |  | Application Number   | 09/734,910       |
|                                                                                                    |  | Filing Date          | 12/11/2000       |
|                                                                                                    |  | First Named Inventor | Stephane Kasriel |
|                                                                                                    |  | Examiner Name        | Frantz B. Jean   |
|                                                                                                    |  | Art Unit             | 2151             |
| <input checked="" type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27          |  | Attorney Docket No.  | D33-015-02-US    |
| <b>TOTAL AMOUNT OF PAYMENT (\$)</b>                                                                |  |                      |                  |

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 13-4300 Deposit Account Name: Moore, Hansen & Sumner, PLLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charges fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                                                                         | Small Entity Fee (\$) | Small Entity Fee (\$) |
|---------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50                    | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200                   | 100                   |
| Multiple dependent claims                                                                               | 360                   | 180                   |

**Total Claims** 1 - 20 or HP = 0 x \$25.00 = \$ 0.00

HP = highest number of total claims paid for, if greater than 3

**Indep. Claims** 1 - 3 or HP = 0 x \$100.00 = \$ 0.00

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets                                                                                              | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fees Paid (\$) |
|-----------------------------------------------------------------------------------------------------------|--------------|--------------------------------------------------|----------|----------------|
| <u>1</u> - 100 = <u>0</u> / 50 = <u>0</u> (round up to a whole number) x <u>\$125.00</u> = <u>\$ 0.00</u> |              |                                                  |          |                |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement under 37 CFR 1.97(c) \$180.00

| SUBMITTED BY      |                   |                                   |                |
|-------------------|-------------------|-----------------------------------|----------------|
| Signature         |                   | Registration No. (Attorney/Agent) | 34,321         |
| Name (Print/Type) | Shawn B. Dempster | Telephone                         | 612-332-8200   |
|                   |                   | Date                              | 5 January 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

|                       |                                                                     |                 |                |
|-----------------------|---------------------------------------------------------------------|-----------------|----------------|
| First Named Inventor: | Stephane Kasriel                                                    |                 |                |
| Serial No.:           | 09/734,910                                                          | Examiner:       | Frantz B. Jean |
| Filed:                | December 11, 2000                                                   | Group Art Unit: | 2151           |
| Docket:               | D33-015-02-US                                                       | Customer No.    | 22,854         |
| Title:                | Predictive Pre-Download Using Normalized Network Object Identifiers |                 |                |

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant in the above-identified application submits this Information Disclosure Statement, together with form(s) PTO/SB08/A and/or B enclosed herewith, to bring to the Examiner's attention documents of which Applicant is aware.

Applicant requests that this submission be considered under 37 CFR 1.97(b), as the first office action mailed October 4, 2004 has been withdrawn by the Examiner for correction and reissue of the office action.

However, in the event consideration under 1.97(b) is deemed improper, Applicant requests that this submission be considered under 37 CFR 1.97(c) as a submission after the first office action, but before issuance of a notice of allowance. Enclosed is a Fee Transmittal PTO/SB17, authorizing payment of any additional fees which may be required under 37 C.F.R. 1.17(p).

Applicant, by submitting the listed documents, does not represent any of the documents to be prior art to this application, and expressly reserves the right, under 37 CFR § 1.131, to establish otherwise. Applicant does not represent the listed documents to be material to the examination of the application, but has cited same to

make it clear beyond all doubt that Applicant has complied with the Duty of Disclosure. Furthermore, this disclosure statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. Copies of all foreign publications and/or non-U.S. patent documents, if any, are enclosed herewith.

**REMARKS**

Applicant requests that these documents be considered by the examiner and made of record in the present application under 37 CFR § 1.104.

Respectfully submitted,  
DIGITAL RIVER, INC.  
By its attorneys/agents

MOORE, HANSEN & SUMNER, PLLP  
225 South Sixth Street  
Suite 4850  
Minneapolis, Minnesota 55402  
(612) 332-8200

Date: 5 January 2005

By   
Shawn B. Dempster, Registration No. 34,321

**Complete if Known**

**(Use as many sheets as necessary)**

JAN 10 2005

Sheet

1

of

Application Number

09/734.910

Filing Date

December 11, 2000

First Named Inventor

Stephane Kasriel

Art Unit

2151

Examiner Name

Frantz B. Jean

Attorney Docket Number

D33-015-02-US

[illegible][illegible]Date  
Considered

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

|                               |                |
|-------------------------------|----------------|
| <i>Application Number</i>     | 09/734,910     |
| <i>Filing Date</i>            | 12/11/2000     |
| <i>First Named Inventor</i>   | Kasriel et al. |
| <i>Art Unit</i>               |                |
| <i>Examiner Name</i>          |                |
| <i>Attorney Docket Number</i> | D33-015-02-US  |

Sheet

of

Attorney Docket Number | D33-015-02-US

### NON PATENT LITERATURE DOCUMENTS

[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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